

FEE TRANSMITTAL FOR FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

Complete if Known

Application Number 09/542,273
Filing Date April 4, 2000
First Named Inventor Takayuki IKEDA
Examiner Name Ahmed Sefer
Art Unit 2826
Attorney Docket No. 740756-2138

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 19-2380 [740756-2138]

Deposit Account Name Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
1	-20** =	0	0
Independent Claims	-3** =	0	0
Multiple Dependent	X	0	0

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	430	2252	215	Extension for reply within second month
1253	980	2253	490	Extension for reply within third month
1254	1,530	2254	765	Extension for reply within fourth month
1255	2,080	2255	1,040	Extension for reply within fifth month
1401	340	2401	170	Notice of Appeal
1402	340	2402	170	Filing a brief in support of an appeal
1403	340	2403	150	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,370	2453	685	Petition to revive - unintentional
1501	1,370	2501	685	Utility issue fee (or reissue)
1502	490	2502	245	Design issue fee
1503	660	2503	330	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))
1801	790	2801	395	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop _____, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) _____

4-5-05
Date

Deborah Novakhedi
Signature
Deborah Novakhedi
Typed or printed name

SUBMITTED BY

Name (Print/Type)

Luan C. Do

Registration No. (Attorney/Agent)

38,434

Signature

Complete (if applicable)

Telephone

(202) 585-8000

Date

April 5, 2005

SEND TO: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



Application No. 09/542,473
Docket No. 740756-2138

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
Takayuki IKEDA et al.) Group Art Unit: 2826
Application No. 09/542,473) Examiner: Ahmed N. Sefer
Filed: April 4, 2000) Confirmation No. 6069
For: ELECTROOPTICAL DEVICE AND A METHOD OF) April 5, 2005
MANUFACTURING THE SAME)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The Commissioner is authorized to charge the amount of \$180.00 to Deposit Account No. 19-2380 (740756-2138) in compliance with the provisions of 37 C.F.R. § 1.97(c).

Copies of the noted U.S. Patents are not enclosed.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. The listed references were cited or submitted in Application Serial No. 09/493,411 and Application Serial No. 10/603,019. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

Luan C. Do
Reg. No. 38,434

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W645987.1

Certificate of Mailing - 37 CFR 1.8(a)

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Alexandria, VA 22313-1450, on the date below.

Date 4-5-05 Reborah Novakhed



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>			
				Application Number		09/542,473	
				Filing Date		April 4, 2000	
				First Named Inventor		Takayuki IKEDA et al.	
				Art Unit		2826	
				Examiner Name		Ahmed N. Sefer	
Sheet	1	of	2	Attorney Docket Number		740756-2138	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-2001/0029070 A1	10/11/2001	YAMAZAKI et al.	
	2	US-2004/0056296 A1	03/25/2004	ARAO et al.	
	3	US-2004/0065882 A1	04/08/2004	YAMAZAKI et al.	
	4	US-4,409,724	10/18/1983	TASCH et al.	
	5	US-4,823,180	04/18/1989	WIEDER et al.	
	6	US-4,851,370	07/25/1989	DOKLAN et al.	
	7	US-4,886,962	12/12/1989	GOFUKU et al.	
	8	US-4,984,033	01/08/1991	ISHIZU et al.	
	9	US-5,182,620	01/26/1993	SHIMADA et al.	
	10	US-5,292,675	03/08/1994	CODAMA	
	11	US-5,341,012	08/23/1994	MISAWA et al.	
	12	US-5,343,066	08/30/1994	OKAMOTO et al.	
	13	US-5,365,079	11/15/1994	KODAIRA et al.	
	14	US-5,508,209	04/16/1996	ZHANG et al.	
	15	US-5,608,251	03/04/1997	KONUMA et al.	
	16	US-5,616,935	04/01/1997	KOYAMA et al.	
	17	US-5,620,905	04/15/1997	KONUMA et al.	
	18	US-5,643,826	07/01/1997	OHTANI et al.	
	19	US-5,712,495	01/27/1998	SUZAWA	
	20	US-5,739,549	04/14/1998	TAKEMURA et al.	
	21	US-5,818,552	10/06/1998	SATO	
	22	US-5,856,689	01/05/1999	SUZAWA	
	23	US-5,882,960	03/16/1999	ZHANG et al.	
	24	US-5,895,933	04/20/1999	ZHANG et al.	
	25	US-5,923,962	07/13/1999	OHTANI et al.	
	26	US-5,942,310	08/24/1999	MOON	
	27	US-5,998,838	12/07/1999	TANABE et al.	
	28	US-5,998,841	12/07/1999	SUZAWA	
	29	US-6,066,860	05/23/2000	KATAYAMA et al.	
	30	US-6,067,132	05/23/2000	KIM	
	31	US-6,137,551	10/24/2000	JEONG	
	32	US-6,271,818	08/07/2001	YAMAZAKI et al.	
	33	US-6,330,044 B1	12/11/2001	MURADE	
	34	US-6,490,014	12/03/2002	OHTANI et al.	
	35	US-6,590,229 B1	07/08/2003	YAMAZAKI et al.	
	36	US-6,663,244 B1	10/28/2003	YAMAZAKI et al.	



FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)			
	37	JP-07-130652		05/19/1995	OHTANI et al.	AB
	38	JP-07-130974		05/19/1995	KODAMA et al.	AB
	39	JP-07-135323		05/23/1995	KONUMA et al.	AB
	40	JP-07-169974		07/04/1995	ZHANG et al.	AB
	41	JP-07-169975		07/04/1995	ZHANG et al.	AB
	42	JP-07-218932		08/18/1995	ZHANG et al.	AB
	43	JP-10-247735		09/14/1998	TAKANO et al.	AB
	44	UK-2307326		05/21/1997	SHIN et al.	FULL

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	45	LEE et al., "NEW POLYCRYSTALLINE SILICON TFT'S WITH SELECTIVELY DOPED REGION IN THE CHANNEL", Proceedings of the Sixth International Display Workshops, PP. 1097-1098, December 1, 1999	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.